


**EE421/521**  
**Image Processing**

Lecture 9  
IMAGE SEGMENTATION



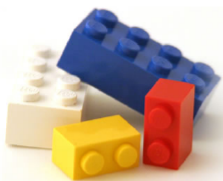
*Introduction*

## Segmentation


- Partitioning image into homogeneous regions with respect to some characteristic
  - Gray level, texture, color, motion, context (foreground, background)
- Useful mid-level representation of an image
- Facilitates computer vision tasks

## Examples


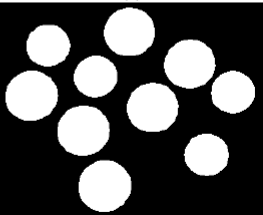
(a) A hard image to segment due to uneven lighting, projected shadows, and occlusion among objects



(b) Grayscale of (a). Segmentation of this image is even harder (even impossible with some methods)



(c) An image easy to segment.

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## ● ● ● | Applications

- Object recognition
- Image annotation
- Video summarization
- Background subtraction
- Medical Imaging
- Image compression

## ● ● ● | Regions and Edges



- **Regions** are found based on *SIMILARITIES* between values of adjacent pixels
  - We could “trace” regions to obtain **edges**

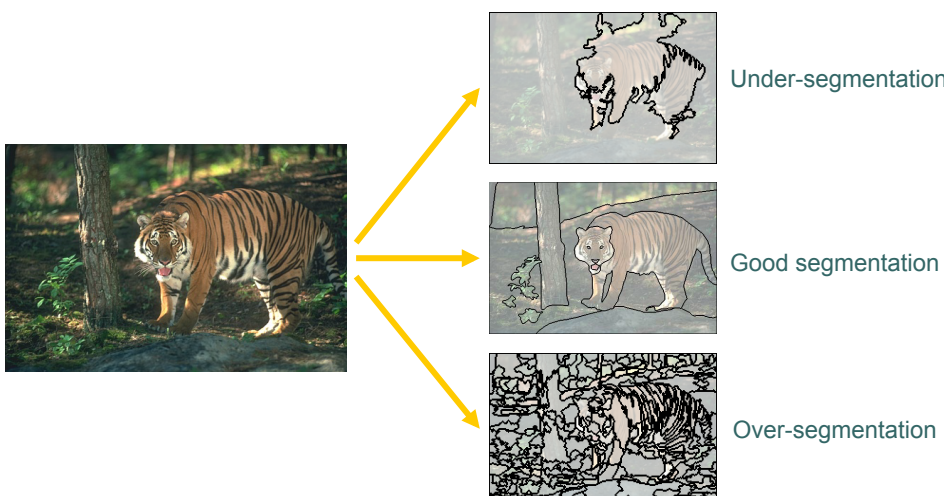


- **Edges** are found based on *DIFFERENCES* between values of adjacent pixels
  - We could “fill” closed contours to obtain **regions**

## ● ● ● | Strategies

- Top-down segmentation
  - Pixels belong together because they come from the same object
- Bottom-up segmentation
  - Pixels belong together because they look similar

## ● ● ● | Over & Under Segmentation



The diagram shows a central image of a tiger in a forest. Three yellow arrows point from this image to three different segmentation results:

- Under-segmentation:** The tiger is represented by a single, large, irregular black outline that encompasses the entire tiger and some of the surrounding background.
- Good segmentation:** The tiger is represented by a black outline that precisely follows the shape of the tiger, separating it from the background.
- Over-segmentation:** The tiger is represented by a very dense and complex black outline that includes many small, unnecessary shapes, making the segmentation look noisy and over-detailed.



## Segmentation Techniques

- Intensity-based (non-contextual): based on pixel distributions (i.e., histograms).
- Region-based (contextual): rely on adjacency and connectivity criteria between a pixel and its neighbors.
  - Region growing
  - Region splitting

9

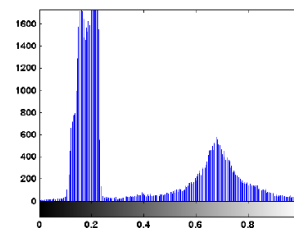


## *Intensity-Based Segmentation*



## Intensity-Based Segmentation

- Rely on pixel statistics (histogram properties) to determine which pixels belong to “foreground” objects and which pixels should be labeled as “background.”



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## Image Thresholding

- This is usually performed by comparing each pixel intensity against a reference value (*threshold*) and replacing the pixel with a value (say 1 or 0) that means “foreground” or “background” depending on the outcome of the comparison.

$$g(x, y) = \begin{cases} 1 & \text{if } f(x, y) > T \\ 0 & \text{otherwise} \end{cases}$$

## Global Thresholding

The figure illustrates global thresholding on an image of coins. It shows the original grayscale image, a histogram of its pixel intensities, and two binary thresholded images. The histogram is bimodal, with a sharp peak at low intensities (around 0.2) and a broader peak at higher intensities (around 0.7). Red arrows indicate that the threshold  $T = 0.4947$  is chosen between the two peaks, resulting in a binary image where the coins are mostly white with some noise. A lower threshold  $T = 0.25$  results in a binary image where the coins are mostly white but with significant background noise.

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## Image Thresholding and Illumination

- Even easy images may become hard to segment

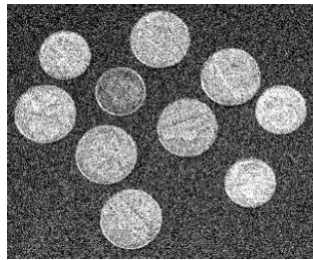
This figure shows how illumination affects thresholding. The original image of coins is shown on the left. The histogram in the center is unimodal, with a single peak at low intensities (around 0.2). A red text label states: "Histogram lost its bimodal shape." The thresholded image on the right, using  $T = 0.25$ , shows that the coins are mostly white but with significant background noise, illustrating how uneven illumination makes segmentation difficult.

Result of segmentation with  $T = 0.25$ .

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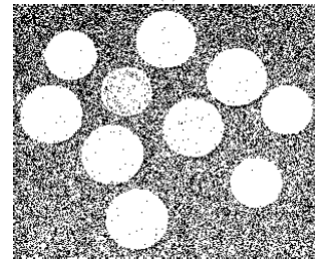
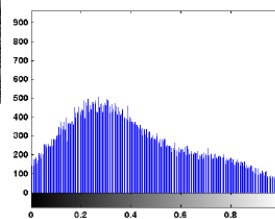
## Image Thresholding and Noise

- Even easy images may become hard to segment



Gaussian noise  
with zero mean  
and variance 0.03.

Histogram lost its  
bimodal shape.



Result of segmentation with  
 $T = 0.25$ .

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## Local Thresholding

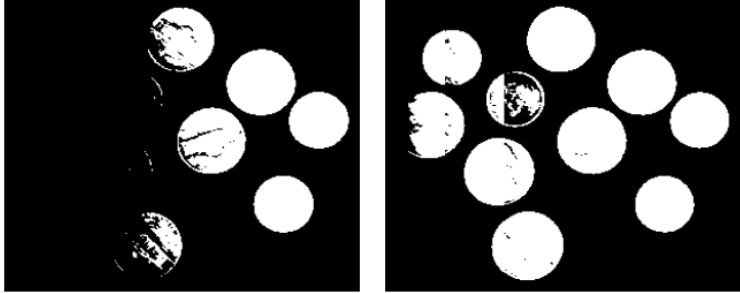
- Threshold blocks of pixels, one block at a time.
  - If the blocks that are too small: large computational cost.
  - If the blocks that are too large: results may not be substantially better than the ones obtained with global thresholding.

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## Local Thresholding Example

Global thresholding      Local thresholding



The image shows two side-by-side grayscale images of a globe and several white circles on a black background. The left image, labeled 'Global thresholding', shows the result of applying a single threshold to the entire image. The right image, labeled 'Local thresholding', shows the result of applying a threshold that varies across the image, preserving more detail in the darker regions.


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## Limitations of Thresholding

- Operates on each image pixel independently.
  - Pixels must have similar values.
- Spatial coherency cannot be satisfied.
  - Pixels do not have to be connected.



# *Region-based Segmentation*

- 
- ## Region-based Segmentation
- A pixel cannot be considered a part of an object based solely on its gray value.
  - Incorporates measures of connectivity among pixels in order to decide whether those pixels belong to the same region (or object).
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## Region-Based Segmentation

Divide an image  $I$  into  $n$  regions  $R_1, R_2, \dots, R_n$  such that:

1.  $\bigcup_{i=1}^n R_i = I$
2.  $R_i$  is a connected region,  $i = 1, 2, \dots, n$ .
3.  $R_i \cap R_j = \emptyset$  for all  $i$  and  $j$ ,  $i \neq j$ .
4.  $P(R_i) = \text{TRUE}$  for  $i = 1, 2, \dots, n$ .
5.  $P(R_i \cup R_j) = \text{FALSE}$  for any adjacent regions  $R_i$  and  $R_j$ .

where  $P(R_i)$  is a logical predicate defined over the points in set  $R_i$  and  $\emptyset$  is the empty set.

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## Region-Based Segmentation

### Logical predicates (also called *homogeneity criteria*) include:

- **Local mean relative to global mean:** the average intensity in a region is significantly different than the average gray level in the whole image.
- **Local standard deviation relative to global mean:** The standard deviation of the pixel intensities in a region is less than a small percentage of the average gray level in the whole image.
- **Variance:** At least a certain percentage of the pixels in a region are within two standard deviations of the local mean.

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## Region Growing Algorithm

```

Let  $f(x,y)$  be the input image
Define a set of regions  $R_1, R_2, \dots, R_n$ , each consisting of a
    single seed pixel
repeat
  for  $i = 1$  to  $n$  do
    for each pixel  $p$  at the border of  $R_i$  do
      for all neighbors of  $p$  do
        Let  $(x,y)$  be the neighbor's coordinates
        Let  $M_i$  be the mean gray level of pixels in  $R_i$ 
        if the neighbor is unassigned and
             $|f(x,y) - M_i| \leq \Delta$  then
          Add neighbor to  $R_i$ 
          Update  $M_i$ 
        end if
      end for
    end for
  end for
until no more pixels can be assigned to regions
  
```

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## Region-Growing Example

$$P(R_i) = \begin{cases} \text{TRUE} & \text{if } |f(x,y) - \mu_i| \leq \Delta \\ \text{FALSE} & \text{otherwise} \end{cases}$$

6	7	7	6	5
7	7	8	6	5
5	5	6	7	6
0	1	2	0	1
1	0	0	2	0

Seed pixels

6	7	7	6	5
7	7	8	6	5
5	5	6	7	6
0	1	2	0	1
1	0	0	2	0

Results after  
first iteration,  
 $\Delta = 3$

6	7	7	6	5
7	7	8	6	5
5	5	6	7	6
0	1	2	0	1
1	0	0	2	0

Results after  
second iteration



## Region Growing Limitations

- Significantly different results may be obtained when switching between 4-connectivity and 8-connectivity criteria.
- Segmentation results are sensitive to the choice of logical uniformity predicate.
- The number of seeds provided by the user may not be sufficient to assign every pixel to a region, or some may belong to the same region.

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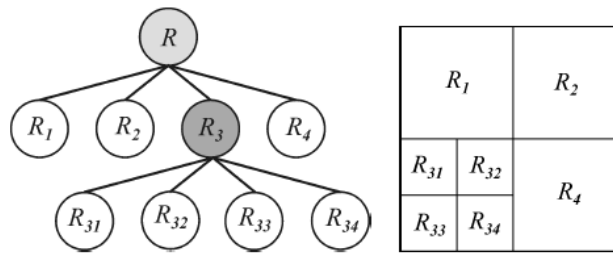
## Region Splitting and Merging

- Start from the entire image and partition (split) it into smaller sub-images until each resulting region is considered homogeneous by some criterion.
- Merge two or more adjacent regions into one region if they satisfy the homogeneity criterion.

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## Region Splitting and Merging



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## Region Splitting and Merging

1. Define a logical uniformity predicate  $P(R_i)$ .
2. Compute  $P(R_i)$  for each region.
3. Split into four disjoint quadrants any region  $R_i$  for which  $P(R_i) = \text{FALSE}$ .
4. Repeat steps 2 and 3 until all resulting regions satisfy the uniformity criterion, i.e.,  $P(R_i) = \text{TRUE}$ .
5. Merge any adjacent regions  $R_j$  and  $R_k$  for which  $P(R_j \cup R_k) = \text{TRUE}$ .
6. Repeat step 5 until no further merging is possible.

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# *Project 2.4*

## Segmentation

*Due 05.01.2014*

29



## Problem 2.4 Segmentation via Region Growing


1. Select an image and display it.
2. Guess the number of segments in this image and select a seed pixel in each region. Show the **seed** pixels on the image.
3. Use the region growing technique to assign each pixel to one of the regions (compare a pixel's intensity with the average intensity value of the region). Employ **8- connectivity** criterion.
4. Repeat Steps 2 & 3 with two different **number of regions** and two different **threshold values** and comment on the results.

30



# *Segmentation as Clustering*

31



## Segmentation as Clustering

- Agglomerative clustering
  - Start with each point in a separate cluster
  - At each iteration, merge two of the “closest” clusters
- Divisive clustering
  - Start with all points grouped into a single cluster
  - At each iteration, split the “largest” cluster





## Segmentation as Clustering

$$v_i = \begin{pmatrix} x_i \\ y_i \\ R_i \\ G_i \\ B_i \\ f_1(x_i, y_i) \\ \vdots \\ f_k(x_i, y_i) \end{pmatrix}$$

- Select a set of image features; position  $\{x, y\}$ , color  $\{R, G, B\}$ , a set of filter responses  $\{f_1(x, y) \dots f_k(x, y)\}$
- For each pixel  $p_i$  form a feature vector  $v_i$



## Features in Feature Space

- The vector represents a point in an  $N$  dimensional feature space.
- The feature vectors for similar pixels should occupy nearby locations in this feature space
- Thus, homogeneous image regions become dense clouds of feature vectors in feature space.

### ● ● ● | Example

The diagram illustrates the mapping of image regions to RGB space. On the left, a church image has four regions marked with yellow squares and coordinate vectors:

- Top-left region:  $\begin{pmatrix} 36 \\ 86 \\ 141 \end{pmatrix}$
- Top-right region:  $\begin{pmatrix} 38 \\ 88 \\ 140 \end{pmatrix}$
- Bottom-left region:  $\begin{pmatrix} 254 \\ 255 \\ 255 \end{pmatrix}$
- Bottom-right region:  $\begin{pmatrix} 250 \\ 99 \\ 98 \end{pmatrix}$

An arrow labeled "RGB Space" points to a 3D scatter plot. The plot shows four distinct clusters of points corresponding to the regions in the image, with axes labeled R, G, and B. The R-axis ranges from 0 to 1, the G-axis from 0 to 1, and the B-axis from 0 to 1.

- The feature space has 3 dimensions (RGB).
- Principal image regions generate dense clusters in feature space.

### ● ● ● | Spatial + RGB Space

The diagram illustrates the mapping of image regions to a 5-dimensional spatial + RGB space. A church image has four regions marked with yellow squares and coordinate vectors:

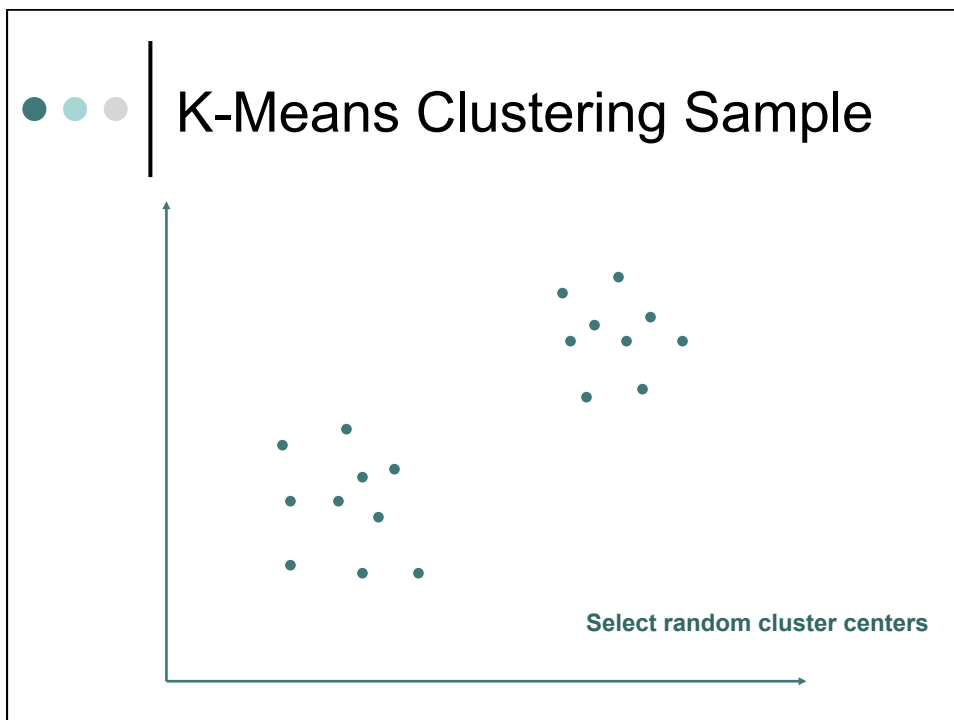
- Top-left region:  $\begin{pmatrix} 20 \\ 400 \\ 36 \\ 86 \\ 141 \end{pmatrix}$
- Top-right region:  $\begin{pmatrix} 465 \\ 27 \\ 38 \\ 88 \\ 140 \end{pmatrix}$
- Bottom-left region:  $\begin{pmatrix} 20 \\ 290 \\ 254 \\ 255 \\ 255 \end{pmatrix}$
- Bottom-right region:  $\begin{pmatrix} 352 \\ 195 \\ 250 \\ 99 \\ 98 \end{pmatrix}$

- The feature space has 5 dimensions (XY-RGB).

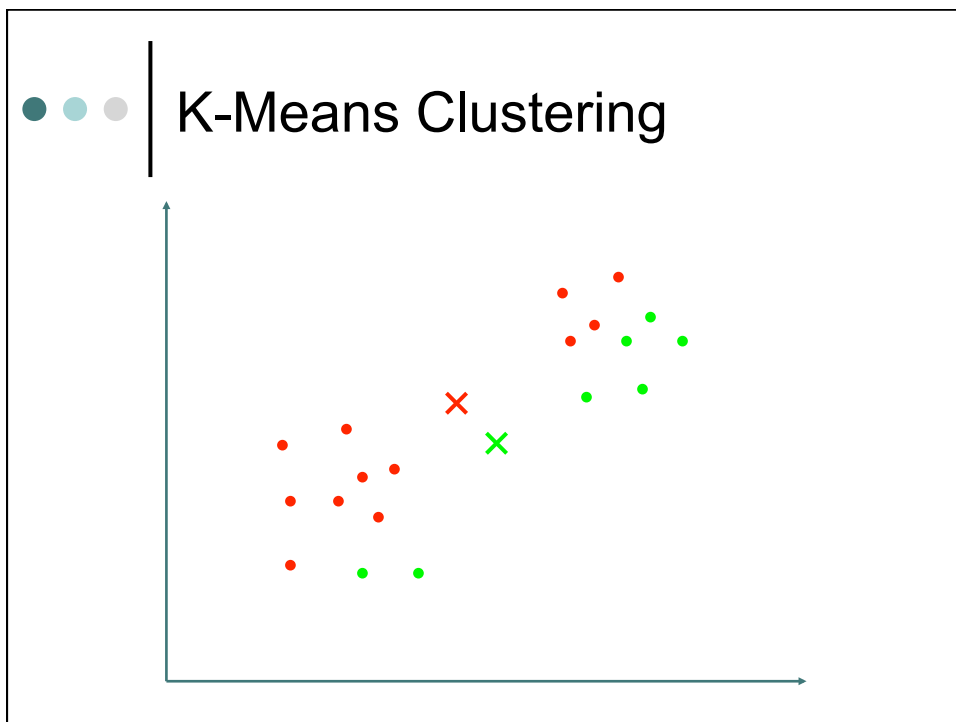
## ● ● ● | K-Means Clustering

- Compute the feature space vectors
- Randomly select K cluster centers in feature space
- Iterate until convergence
  - Assign feature vectors to the closest cluster center
  - Re-compute the cluster centers as a (weighted) mean of the feature vectors assigned to each cluster
- Label pixels according to the cluster their feature vectors belong to

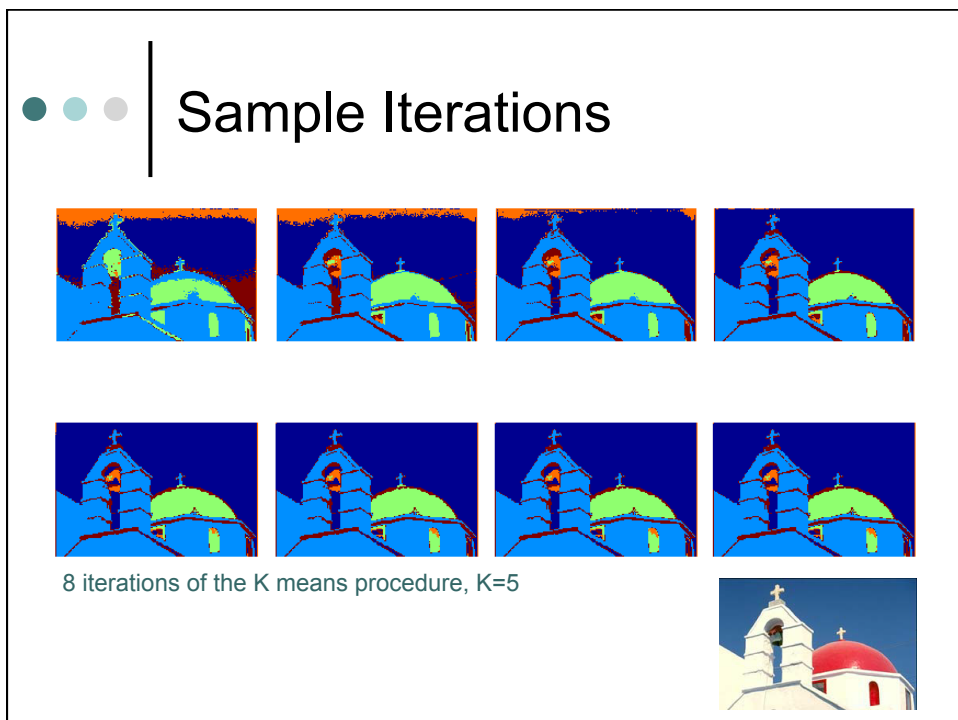
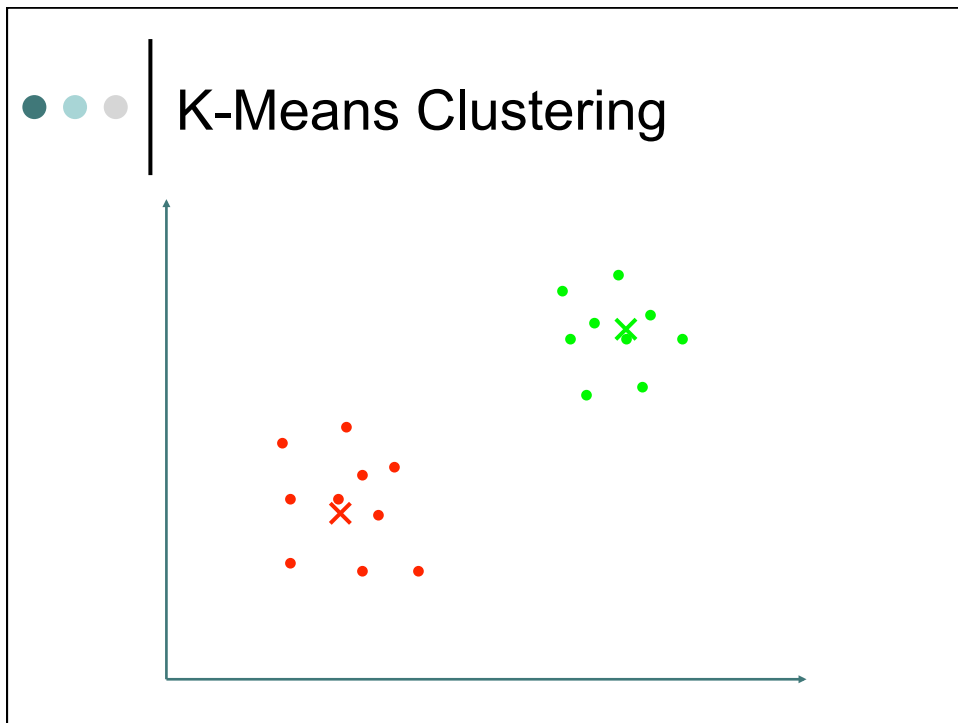
37





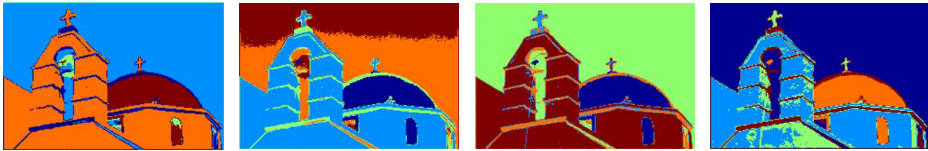




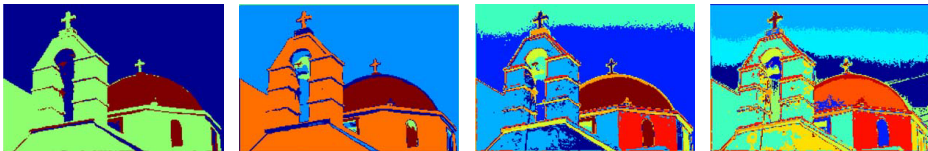


## Parameter Selection

Effect of random initialization, K=5



Effect of the choice K



K=3      K=5      K=8      K=15

## K-Means pros and cons

Pros	Cons
<ul style="list-style-type: none"> <li>○ Simple and fast</li> <li>○ Converges to a local minimum of the error function</li> </ul>	<ul style="list-style-type: none"> <li>○ Need to pick K</li> <li>○ Sensitive to initialization</li> <li>○ Sensitive to outliers</li> <li>○ Only finds “spherical” clusters</li> </ul>

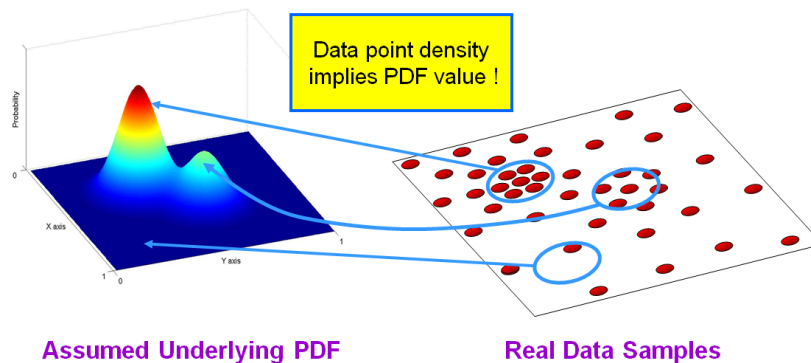


## Mean Shift

- An advanced and versatile technique for clustering-based segmentation
- Finds modes in a set of data samples, manifesting an underlying probability density function (PDF) in  $R^N$
- Seeks a mode or local maximum of density of a given distribution

## Probability Density Function Estimation

Assumption : The data points are sampled from an underlying PDF



## ● ● ● | Mean Shift

**BDF Estimation**

$$P(\mathbf{x}) = \frac{1}{n} \sum_{i=1}^n K(\mathbf{x} - \mathbf{x}_i)$$

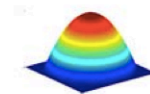
**Mean Shift** : Estimate not PDF but the GRADIENT

$$\nabla P(\mathbf{x}) = \frac{1}{n} \sum_{i=1}^n \nabla K(\mathbf{x} - \mathbf{x}_i)$$

## ● ● ● | Various Kernels ( $K$ )

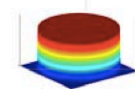
- Epanechnikov Kernel

$$K_E(\mathbf{x}) = \begin{cases} c(1 - \|\mathbf{x}\|^2) & \|\mathbf{x}\| \leq 1 \\ 0 & \text{otherwise} \end{cases}$$



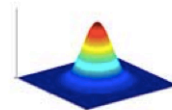
- Uniform Kernel

$$K_U(\mathbf{x}) = \begin{cases} c & \|\mathbf{x}\| \leq 1 \\ 0 & \text{otherwise} \end{cases}$$



- Normal Kernel

$$K_N(\mathbf{x}) = c \cdot \exp\left(-\frac{1}{2} \|\mathbf{x}\|^2\right)$$



## Computing The Mean Shift

$$\nabla P(\mathbf{x}) = \frac{c}{n} \sum_{i=1}^n \nabla K_i = \frac{c}{n} \left[ \sum_{i=1}^n g_i \right] \cdot \left[ \frac{\sum_{i=1}^n \mathbf{x}_i g_i}{\sum_{i=1}^n g_i} - \mathbf{x} \right]$$

$g(\mathbf{x}) = -k'(\mathbf{x})$   
 $g\left(\frac{\|\mathbf{x} - \mathbf{x}_i\|^2}{h}\right)$

Mean Shift Vector

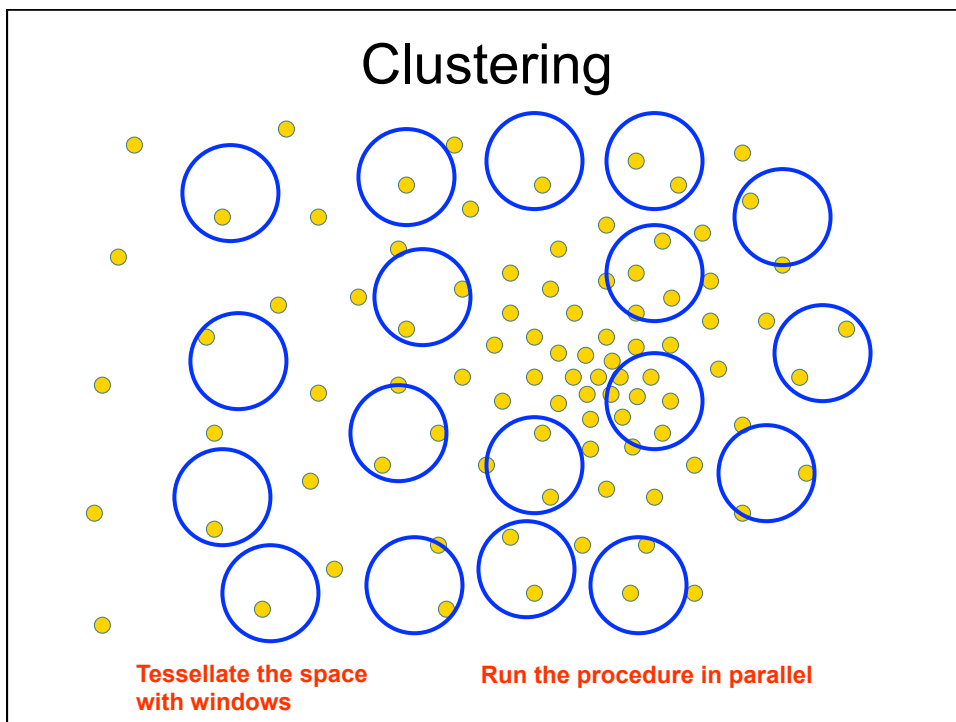
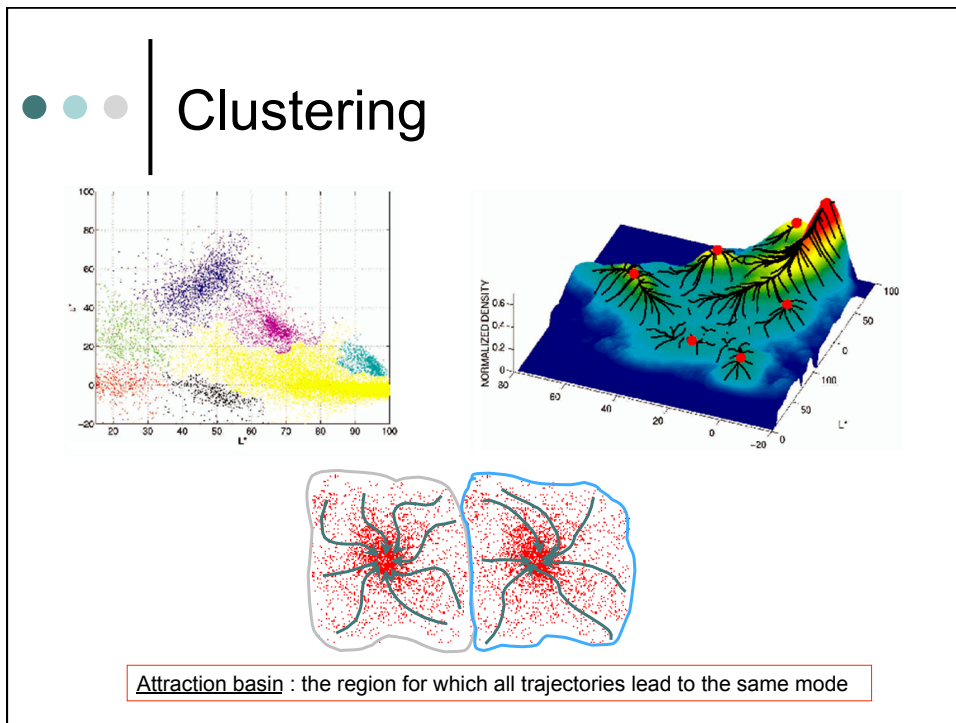
Center of mass (Mean)

Simple Mean Shift procedure:

- Compute mean shift vector  $m(\mathbf{x})$
- Translate the Kernel window by  $m(\mathbf{x})$

window center

## Mean Shift Iterations



## ● ● ● | Mean Shift Segmentation

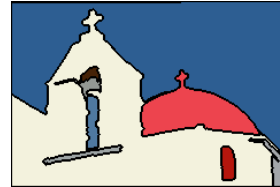
- Find features (color, gradients, texture, etc.)
- Initialize windows at *individual* pixel locations
- Perform mean shift for each window until convergence
- Merge windows that end up near the same “peak” or mode

57

## ● ● ● | Mean Shift Properties

- Automatic convergence speed – the mean shift vector size depends on the gradient itself.
- Near maxima, the steps are small and refined
- Convergence is guaranteed for infinitesimal steps only
- For Uniform Kernel, convergence is achieved in a finite number of steps
- Normal Kernel exhibits a smooth trajectory, but is slower than Uniform Kernel.

## Mean Shift Segmentation Examples



Input Image

Smaller search window

Larger search window


## Mean Shift Pros and Cons

### Pros

- Does not assume spherical clusters
- Just a single parameter (window size)
- Finds variable number of modes
- Robust to outliers

### Cons

- Output depends on window size
- Computationally expensive
- Does not scale well with dimension of feature space



Next Lecture

- NOISE FILTERING